UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM SD

Specialized Disclosure Report

Powell Industries, Inc.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of incorporation or organization)

001-12488 (Commission File No.) 88-0106100 (I.R.S. Employer Identification No.)

8550 Mosley Road Houston, Texas (Address of principal executive offices)

77075-1180 (Zip Code)

 $\label{eq:Don R. Madison, 713-944-6900} Don R. Madison, 713-944-6900 (Name and telephone number, including area code, of the person to contact in connection with this report.)$

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2014.

Item 1.01 Conflict Minerals Disclosure and Report

Conflict Minerals Disclosure

Powell Industries, Inc. (the "Company") undertook a country of origin inquiry with respect to the conflict minerals used in the production of the Company's custom engineered-to-order electrical equipment and has determined in good faith that for the year ended December 31, 2014:

- a) The Company has manufactured or contracted to manufacture products as to which certain conflict minerals, gold, tin, tungsten and tantalum (collectively, 3TG). are necessary to the functionality or production of such products.
- b) Based on a reasonable country of origin inquiry, the Company believes, or has reason to believe, that a portion of its products that contain the necessary 3TG originated, or may have originated, in the Democratic Republic of the Congo or an adjoining country and knows, or has reason to believe, that those necessary 3TG products may not be from recycle or scrap sources.

A copy of the Company's Conflict Minerals Report is filed as Exhibit 1.01 hereto and is publicly available at the Company's website at www.powellind.com, under the section entitled "Investors."

Item 1.02 Exhibit

See Item 2.01 of this Specialized Disclosure Report on Form SD.

Item 2.01 Exhibits

EXHIDIT No.	Description
Exhibit 1.01	Conflict Minerals Report for the calendar year ended December 31, 2014
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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Company has duly caused this report to be signed on its behalf by the duly authorized undersigned.

POWELL INDUSTRIES, INC.

Date: June 1, 2015

By: /s/ DON R. MADISON

Don R. Madison Executive Vice President

Chief Financial and Administrative Officer

(Principal Financial Officer)

Conflict Minerals Report For the Year Ended December 31, 2014

This is the Conflict Minerals Report (this Report) of Powell Industries, Inc. (Powell, the Company, we, us or our) for calendar year 2014 in accordance with Rule 13p-1 under the Securities Exchange Act of 1934, as amended (the Exchange Act). Please refer to Section 13(p) of the Exchange Act and the general instructions to the Specialized Disclosure Report on Form SD for definitions of certain terms used in this Report, unless otherwise defined herein.

We are in the business of developing, designing, manufacturing and servicing custom engineered-to-order equipment and systems for the management and control of electrical energy. Certain conflict minerals (as defined in Item 1.01(d)(3) of Form SD), specifically gold, tin, tungsten and tantalum (collectively, 3TG) are used in the production of our custom engineered-to-order equipment. Accordingly, pursuant to the rules promulgated by the Securities and Exchange Commission, we conducted a reasonable country of origin inquiry (RCOI) regarding such conflict minerals designed to determine whether any of such conflict minerals originated in the Democratic Republic of the Congo (DRC) or an adjoining country (collectively, Covered Countries), or are from recycled or scrap sources.

In conducting the RCOI, Powell engaged Assent Compliance (Assent) to assist with the effectiveness of our conflict minerals program. We identified the scope of our inquiry by first completing a supplier list extraction from our preferred vendor list. This list was then filtered to remove service providers, indirect materials suppliers and inactive suppliers (minimum of one year since last purchase)

This was intended to ensure that all suppliers surveyed provided items to Powell that were used in final products in the year 2014. Once the filtering was completed, we populated the list with contact information and this list, composed of 4,767 suppliers, was then provided to Assent for upload to their Assent Compliance Manager software system (Assent Compliance Manager).

Assent conducted additional analysis of the supply chain that, combined with supplier feedback, resulted in 3,063 suppliers being removed from the scope of the conflict minerals inquiry. The factors considered in Assent's secondary analysis and the information provided that removed these suppliers from the scope of inquiry included:

- Whether the product they supplied was merely packaging. (labels do not count as packaging);
- Whether the parts a supplier used did not end up in the final product. (such as equipment used to make the product, i.e. industrial equipment, computers etc.);
- Whether a supplier used test labs to test, for example, the resistance or durability of a product;
- Whether a supplier was just a service provider;
- Whether a supplier supplied anything to Powell in the last 2 years.

After limiting the scope of inquiry, Assent conducted the supplier survey portion of the RCOI. The supplier survey utilized a template developed by the Electronic Industry Citizenship Coalition® and The Global e-Sustainability Initiative (EICC/GeSI) called the Conflict Mineral Report Template (CMRT). The CMRT was developed to facilitate general disclosures and information regarding smelters that provide materials to the supplier. It includes questions regarding the supplier's conflict-free minerals policy, the engagement process with its direct suppliers and identification of the smelters used by the supplier.

Non-responsive suppliers were contacted a minimum of three times by the Assent Compliance Manager and then were also managed by the Assent Compliance Supply Chain team in one on one communications. This included two to three follow ups from the supply chain team. After three months of non-responsiveness, suppliers were then contacted via email and phone by Powell procurement team members as an escalation to encourage their response to Assent.

Assent communications include training and education on the completion of the CMRT form as well as access to a Supplier Resource Center designed to alleviate any remaining confusion with suppliers. All communications were monitored and tracked in Assent's system for future reporting and transparency.

All submitted forms are accepted and classified as valid or invalid so that data is still retained Suppliers are contacted in regards to invalid forms and are encouraged to resubmit a valid form. As of March 3, 2015, there were no invalid supplier submissions.

Additionally, based on the smelter lists provided, we are aware that there are certified conflict free smelters that source from the Covered Countries in our supply chain. Many suppliers are still unable to provide all the smelters or refiners used for materials supplied to us. Furthermore, some responses indicated an "unknown" status with respect to the origin of 3TG.

After completing the RCOI, we then implemented due diligence measures on the source and chain of custody of such conflict minerals conforming to the Organisation for Economic Co-operation and Development (OECD) Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas, including the related supplements on gold, tin, tantalum and tungsten (OECD Guidance), an internationally recognized due diligence framework.

A. Establish Strong Powell Management Systems

In accordance with our due diligence framework and compliance efforts:

- We have communicated to all of our applicable suppliers our efforts to comply with Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act, pursuant to a compliance statement and other communications (collectively, the Compliance Statement). We requested that our applicable suppliers provide a comprehensive conflict minerals declaration for all conflict minerals in the form of the CMRT. We provide our Compliance Statement to all new suppliers and plan to provide annual related compliance statement communications to our entire supply base
- · We implemented internal compliance efforts, including internal reporting requirements, to support our supply chain due diligence process.
- We implemented a risk mitigation response plan to address business relationships with suppliers that are DRC conflict undeterminable (as defined in Item 1.01(d)(5) of Form SD), which mitigation may include identifying an alternate supply. Our program includes continuous monitoring to adjust our strategies as sourcing data improves and new information is received.

Powell has adopted a company policy with respect to conflict minerals which is posted on our website at www.powellind.com.

Internal Team

Powell has established a management system for conflict minerals. Our management system is sponsored by the Chief Financial Officer as well as executive-level representatives and a team of subject matter experts from relevant functions such as:

Tim Irons – Strategic Sourcing Manager Steve Williams – Senior Legal Counsel Milburn Honeycutt – Corporate Controller Dennis Thonsgard – VP of Research and Product Development Larry Larsen – Risk Management Director Dawn Ramos – Commodity Manager Griselda Perez – Supply Chain Administrator

The team of subject matter experts is responsible for implementing our conflict minerals compliance strategy and is led by the Commodity Manager who acts as the executive conflict minerals program manager. Senior management is briefed about the results of our due diligence efforts on a regular basis.

Control Systems

Controls include, but are not limited to, our code of conduct which outlines expected behaviors for all Powell employees and a conflict minerals policy which can be found on our website at www.powellind.com.

We rely on our direct suppliers to provide information on the origin of the 3TG contained in components and materials supplied to us — including sources of 3TG that are supplied to them from lower tier suppliers. Contracts with our suppliers are frequently in force for three to five years or more and we cannot unilaterally impose new contract terms and flow-down requirements. As we enter into new contracts, or our contracts renew, we are adding a clause to require suppliers to provide information about the source of 3TG and smelters. It will take a number of years to ensure that all our supplier contracts contain appropriate flow-down clauses. In the meantime, as described below, we are working with selected suppliers to ensure they provide the 3TG sourcing information until the contracts can be amended.

With respect to the OECD requirement to strengthen engagement with suppliers, we have, through Assent, provided education on the conflict minerals regulation. We have leveraged the existing communications within the company, specifically the procurement department, to encourage supplier interactions with Assent as well as to help suppliers understand the requirement for completion of their supplier surveys. Feedback from this engagement has allowed us to enhance the training and focus and adapt it to each user's needs. It has also allowed for our supplier communications to be more focused and ensure expectations are clear.

We have multiple longstanding grievance mechanisms whereby employees and suppliers can report violations of Powell's policies.

We have also adopted a policy to retain relevant documentation. Documentation will be retained for minimum of five years.

B. Identify and Assess Risks in Our Supply Chain

We identified risks at the product level by determining first whether the products contained conflict minerals necessary to the functionality or production of products that we manufacture or contract to be manufactured.

We then identified the applicable supplier(s) relating to those products. As we progress, we expect that transparency in our supply chain will increase and that will allow for better risk assessment at more detailed levels of our supply chain. We intend to continue communicating with our suppliers regarding conflict minerals. We want to help mitigate risks that the necessary conflict minerals identified in the products we manufacture, or contract to manufacture, could benefit armed groups in the Covered Countries.

Based on assessed risks, our RCOI covered applicable suppliers.

C. <u>Design and Implement a Strategy to Respond to Identified Risks</u>

We believe that our RCOI was reasonably designed and executed to determine the existence and source of conflict minerals in our supply chain, including from recycled or scrap sources (as defined in Item 1.01(d)(6) of Form SD). We utilized the EICC/GeSI's CMRT template for our RCOI and comparable forms of documentation accepted in the industry. We conducted our effort to send surveys in good faith, utilizing up to three rounds of communications with our suppliers through March 2015. We evaluated survey responses to identify: (i) the use and source of conflict minerals; and (ii) any warning signs indicating that conflict minerals may have come from the a Covered Country. We made additional inquiries on a case-by-case basis to clarify or obtain more information as necessary. We tracked results of our supply chain diligence process and periodically reported the results to our executive leadership team.

We do not have sufficient information, based on the survey responses received, to determine each of the specific facilities used to process conflict minerals or to identify specific countries of origin for the conflict minerals used in all of our products (whether manufactured or contracted to be manufactured by us).

D. <u>Implement Targeted Independent Third-Party Due Diligence</u>

We support industry associations that administer independent third-party smelter and refinery audit programs. We encourage targeted suppliers and/or facilities to participate in comparable due diligence validation activities.

We engaged Assent to assist in the RCOI, data collection and survey process.

E. Report on Supply Chain Due Diligence

We developed a supply chain due diligence policy, communicated this policy to our suppliers and will continue to communicate with our suppliers. We also developed and distributed our Compliance Statement. Further, we have responded to various customer requests for information regarding our conflict minerals determination.

<u>Due Diligence Process</u>

Following our RCOI, we conducted a due diligence review of the source and chain of custody of the 3TG contained in covered products. Our due diligence measures were designed to conform in all material respects with the framework in the OECD Guidance.

Certain of the responses to the surveys included the names of facilities listed by the suppliers as smelters or refiners. We do not typically have a direct relationship with 3TG smelters and refiners and do not perform or direct audits of these entities within our supply chain. We and our consultant compared these facilities listed in the responses to the list of smelters maintained by the Conflict-Free Sourcing Initiative (CFSI), the United States Department of Commerce (DoC) and the London Bouillion Market Association (LBMA) and, if a supplier indicated that the facility was certified as "Conflict-Free," we confirmed that the name was listed by CFSI. As of March 3rd, 2015, we have validated 298 smelters or refiners and are working to validate an additional 1,810 smelter/refiner entries from the submitted CMRTs. We have included the current list of valid smelters disclosed to us by our suppliers which is attached as Appendix I.

In accordance with OECD Guidance, it is important to understand risk levels associated with conflict minerals in the supply chain. Smelters not being certified DRC-Conflict Free pose a significant risk to the supply chain. In the Assent Compliance Manager, risk is classified as High, Medium and Low; this Risk rating is generated based on 3 scoring criteria:

- 1) Regulated Body: this assesses whether the smelter is a member and has an associated regulated body number (Smelter CID Number is the primary method).
- 2) Proximity: Level 1 Country: Countries with known active ore production for tin or tantalum that are not identified as conflict regions or plausible countries that smuggle or export materials containing tin or tantalum. Examples include countries located in North or South

America, Australia or Europe that are G8 Level Countries who are known to meet OECD standards in other sectors. Level 2 Country: Known or plausible countries that smuggle or export materials containing tin or tantalum out of Level 3 countries, or that have transit of materials containing tin or tantalum. This currently includes Kenya, Mozambique, and South Africa. Level 3 Country: The DRC and its nine adjoining countries as outlined in Section 1502 of the Dodd Frank Act. These include Angola, Burundi, Central African Republic, DRC, Rwanda, South Sudan, Tanzania, Uganda and Zambia.

3) Likelihood: We also calculate supplier risk based on the chances that the supplier provides 3TG that may originate from Non-Conflict Free sources. The value of this risk is calculated based on the risk ratings of the smelters declared by that supplier on their CMRT.

Additionally, suppliers are evaluated on program strength (further assisting in identifying risk in the supply chain). At this stage in conflict minerals compliance it is well-known that many companies are in the middle of the process and do not have many answers beyond "unknown". The criteria used to evaluate the strength of the program are:

- A. Do you have a policy in place that includes DRC conflict-free sourcing?
- E. Have you implemented due diligence measures for conflict-free sourcing?
- H. Do you verify due diligence information received from your suppliers?
- I. Does your verification process include corrective action management?

When suppliers meet or exceed those criteria (Yes to at least A,E,H,I) they are deemed to have a strong program. When suppliers do not meet those criteria, they are deemed to have a weak program.

We believe that the inquiries and investigations described above represent a reasonable effort to determine the mines or locations of origin of the 3TG in our applicable products, including (1) seeking information about 3TG smelters and refiners in our supply chain by requesting that our suppliers complete the CMRT, (2) verifying those smelters and refiners with the expanding CFSI lists, (3) conducting the due diligence review and (4) obtaining additional documentation and verification, as applicable. Our existing policy related to relevant documentation of our conflict mineral compliance process requires that documentation will be retained for a period of at least five years.

While due diligence is ongoing and the information received continues to improve in quantity and quality, the majority of the responses received continue to provide data at a company or divisional level or did not specify the smelters or refiners used for materials supplied to us. We have decided to disclose validated smelters provided to us by our supply chain. However we cannot definitively determine whether any of the 3TG reported by the suppliers were contained in materials supplied to us or to validate that any of these smelters or refiners are actually in our supply chain. As a result, we are unable to identify all smelters and refiners as well as all the countries of origin of the 3TG that are contained in the applicable products

<u>Metal</u> Gold	Smelter Reference List Advanced Chemical	Standard Smelter Name Advanced Chemical	Smelter Facility Location UNITED STATES	Smelter ID CID000015	CFSI Audit Status	3rd Party Status Unknown	Source from DRC? Unknown	Conflict Free? Unknown	Sources (if specified) Unknown	Include Recycle or Scrap? Unknown
Gold	Company	Company Aida Chemical Industries	JAPAN	CID000019	Compliant		Unknown		Unknown	Unknown
Gold	Ltd. Aktyubinsk Copper	Co. Ltd. Aktyubinsk Copper	RUSSIAN	CID000013 CID000028	None	•	Unknown			Unknown
Gold	Company TOO Allgemeine Gold-und	Company TOO Allgemeine Gold-und	FEDERATION	CID000028 CID000035					Unknown	Yes
Gold	Silberscheideanstalt A.G. Almalyk Mining and Metallurgical Complex	Silberscheideanstalt A.G. Almalyk Mining and Metallurgical Complex	GERMANY UZBEKISTAN	CID000033 CID000041	Compliant None	•	Unknown Unknown			Unknown
Gold	(AMMC) AngloGold Ashanti Córrego do Sítio Minerção	(AMMC) AngloGold Ashanti Córrego do Sítio Minerção	BRAZIL	CID000058	Compliant	Complete	Unknown	Yes	Brazil	Unknown
Gold Gold Gold	Argor-Heraeus SA Asahi Pretec Corporation Asaka Riken Co Ltd	Argor-Heraeus SA Asahi Pretec Corporation Asaka Riken Co Ltd	SWITZERLAND JAPAN JAPAN	CID000077 CID000082 CID000090	Compliant Compliant Active	Complete In	Unknown Unknown Unknown		Unknown Unknown Unknown	Yes Yes Unknown
Gold	Atasay Kuyumculuk Sanayi	Atasay Kuyumculuk	TURKEY	CID000103	Compliant	Process Complete	Unknown	Yes	Turkey	Yes
Gold	Ve Ticaret A.S. Aurubis AG	Sanayi Ve Ticaret A.S. Aurubis AG	GERMANY	CID000113	Compliant	Complete	Unknown	Yes	Chile, Brazil, Peru, Argentina, Canada,	Yes
Gold	Bangko Sentral ng Pilipinas (Central Bank of the Philippines)	Bangko Sentral ng Pilipinas (Central Bank of the Philippines)	PHILIPPINES	CID000128	None	Unknown	Unknown	Unknown	Bulgaria Unknown	Unknown
Gold Gold Gold	Bauer Walser AG Boliden AB C. Hafner GmbH + Co. KG	Bauer Walser AG Boliden AB C. Hafner GmbH + Co. KG	GERMANY SWEDEN GERMANY	CID000141 CID000157 CID000176	None Compliant Compliant	Complete	Unknown Unknown Unknown	Yes	Unknown Unknown Unknown	Unknown Unknown Unknown
Gold Gold	Caridad CCR Refinery – Glencore	Caridad CCR Refinery – Glencore	MEXICO CANADA	CID000180 CID000185	None Compliant		Unknown Unknown		Unknown Unknown	Unknown Yes
Gold	Canada Corporation Cendres + Métaux SA	Canada Corporation Cendres + Métaux SA	SWITZERLAND	CID000189	Active	In	Unknown	Unknown	Unknown	Unknown
Gold Gold	Chimet S.p.A. China National Gold Group	Chimet S.p.A. China National Gold	ITALY CHINA	CID000233 CID000242	Compliant None		Unknown Unknown		Unknown Unknown	Yes Unknown
Gold Gold Gold	Corporation Chugai Mining Colt Refining Daejin Indus Co. Ltd	Group Corporation Chugai Mining Colt Refining Daejin Indus Co. Ltd	JAPAN UNITED STATES KOREA,	CID000264 CID000288 CID000328	None None None	Unknown	Unknown Unknown Unknown	Unknown	Unknown	Unknown Unknown Unknown
Gold	Daye Non-Ferrous Metals	Daye Non-Ferrous Metals	REPUBLIC OF CHINA	CID000343	None	Unknown	Unknown	Unknown	Unknown	Unknown
Gold	Mining Ltd. Do Sung Corporation	Mining Ltd. Do Sung Corporation	KOREA, REPUBLIC OF	CID000359	None	Unknown	Unknown	Unknown	Unknown	Unknown
Gold Gold Gold	Doduco Dowa Eco-System Recycling Co.,		GERMANY JAPAN JAPAN	CID000362 CID000401 CID000425	None Compliant Compliant	Complete	Unknown Unknown Unknown	Yes	Unknown Unknown Unknown	Unknown Unknown Unknown
Gold	Ltd. FSE Novosibirsk Refinery	Ltd. FSE Novosibirsk Refinery	RUSSIAN	CID000493	None	Unknown	Unknown	Unknown	Unknown	Unknown
Gold	Gansu Seemine Material	Gansu Seemine Material	FEDERATION CHINA	CID000522	None	Unknown	Unknown	Unknown	Unknown	Unknown
Gold	Hi-Tech Co Ltd Guangdong Jinding Gold	Hi-Tech Co Ltd Guangdong Jinding Gold	CHINA	CID002312	None	Unknown	Unknown	Unknown	Unknown	Unknown
Gold	Limited Hangzhou Fuchunjiang	Limited Hangzhou Fuchunjiang Smelting Co., Ltd.	CHINA	CID000671	None	Unknown	Unknown	Unknown	Unknown	Unknown
Gold Gold Gold	Smelting Co., Ltd. Heimerle + Meule GmbH Heraeus Ltd. Hong Kong Heraeus Precious Metals	Heimerle + Meule GmbH Heraeus Ltd. Hong Kong Heraeus Precious Metals	GERMANY HONG KONG GERMANY	CID000694 CID000707 CID000711	Compliant Compliant Compliant	Complete	Unknown Unknown Unknown	Yes	Unknown Unknown Unknown	Yes Yes Yes
Gold	GmbH & Co. KG Hunan Chenzhou Mining	GmbH & Co. KG Hunan Chenzhou Mining	CHINA	CID000767	None	Unknown	Unknown	Unknown	Unknown	Unknown
Gold	Group Co., Ltd. Hwasung CJ Co. Ltd	Group Co., Ltd. Hwasung CJ Co. Ltd	KOREA,	CID000778	None	Unknown	Unknown	Unknown	Unknown	Unknown
Gold	Inner Mongolia Qiankun Gold and Silver Refinery	Inner Mongolia Qiankun Gold and Silver Refinery	REPUBLIC OF CHINA	CID000801	None	Unknown	Unknown	Unknown	Unknown	Unknown
Gold	Share Company Limited Ishifuku Metal Industry Co., Ltd.	Share Company Limited Ishifuku Metal Industry Co., Ltd.	JAPAN	CID000807	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Gold Gold Gold	Istanbul Gold Refinery Japan Mint Jiangxi Copper Company Limited	Istanbul Gold Refinery Japan Mint Jiangxi Copper Company Limited	TURKEY JAPAN CHINA	CID000814 CID000823 CID000855	Compliant Compliant None	Complete	Unknown Unknown Unknown	Yes	Unknown Unknown Unknown	Unknown Unknown Unknown
Gold Gold Gold	Johnson Matthey Inc Johnson Matthey Ltd JSC Ekaterinburg Non-Ferrous Metal	Johnson Matthey Inc Johnson Matthey Ltd JSC Ekaterinburg Non-Ferrous Metal	UNITED STATES CANADA RUSSIAN FEDERATION	CID000920 CID000924 CID000927	Compliant Compliant Compliant	Complete	Unknown Unknown Unknown	Yes	Unknown Unknown Unknown	Unknown Unknown Unknown
Gold	Processing Plant JSC Uralelectromed	Processing Plant JSC Uralelectromed	RUSSIAN	CID000929	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Gold	JX Nippon Mining & Metals	JX Nippon Mining &	FEDERATION JAPAN	CID000937	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Gold Gold	Co., Ltd. Kazzinc Ltd Kennecott Utah Copper LLC	Metals Co., Ltd. Kazzinc Ltd Kennecott Utah Copper	KAZAKHSTAN UNITED STATES	CID000957 CID000969	Compliant Compliant		Unknown Unknown		Kazakhstan United States	Unknown Unknown
Gold Gold	Kojima Chemicals Co., Ltd Korea Metal Co. Ltd	LLC Kojima Chemicals Co., Ltd Korea Metal Co. Ltd	JAPAN KOREA, REPUBLIC OF	CID000981 CID000988	Compliant None		Unknown Unknown		Unknown Unknown	Unknown Unknown
Gold Gold	Kyrgyzaltyn JSC L' azurde Company For	Kyrgyzaltyn JSC L' azurde Company For	KYRGYZSTAN SAUDI ARABIA	CID001029 CID001032	None Compliant		Unknown Unknown		Unknown Unknown	Unknown Unknown
Gold	Jewelry Lingbao Gold Company	Jewelry Lingbao Gold Company	CHINA	CID001056	None	Unknown	Unknown	Unknown	Unknown	Unknown
Gold	Limited Lingbao Jinyuan Tonghui	Limited Lingbao Jinyuan Tonghui	CHINA	CID001058	None	Unknown	Unknown	Unknown	Unknown	Unknown
Gold	Refinery Co. Ltd. LS-NIKKO Copper Inc.	Refinery Co. Ltd. LS-NIKKO Copper Inc.	KOREA,	CID001078	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Gold	Luoyang Zijin Yinhui Metal Smelt Co Ltd	Luoyang Zijin Yinhui Metal Smelt Co Ltd	REPUBLIC OF CHINA	CID001093	None	Unknown	Unknown	Unknown	Unknown	Unknown
Gold Gold Gold	Materion Matsuda Sangyo Co., Ltd. Metalor Technologies (Hong Kong) Ltd	Materion Matsuda Sangyo Co., Ltd. Metalor Technologies (Hong Kong) Ltd	UNITED STATES JAPAN HONG KONG	CID001113 CID001119 CID001149	Compliant Compliant Compliant	Complete	Unknown Unknown Unknown	Yes	Unknown Unknown Unknown	Yes Unknown Unknown

Gold Metalor Technologies Metalor Technologies (Singapore) Pte. Ltd. Metalor Technologies (Singapore) Pte. Ltd. Ciponal Complex Ciponal Cipona

Gold Gold	Metalor Technologies SA Metalor USA Refining	Metalor Technologies SA Metalor USA Refining	SWITZERLAND UNITED STATES	CID001153 CID001157	Compliant Compliant	Complete Complete	Unknown Unknown		Unknown Unknown	Unknown Unknown
Gold Gold	Corporation Met-Mex Peñoles, S.A. Mitsubishi Materials	Corporation Met-Mex Peñoles, S.A. Mitsubishi Materials	MEXICO JAPAN	CID001161 CID001188	Compliant Compliant		Unknown Unknown		Mexico Unknown	Unknown Unknown
Gold	Corporation Mitsui Mining and	Corporation Mitsui Mining and	JAPAN	CID001193	Compliant	•	Unknown		Peru, Chile, Canada	Yes
Gold	Smelting Co., Ltd. Moscow Special Alloys	Smelting Co., Ltd. Moscow Special Alloys	RUSSIAN	CID001204	None	Unknown	Unknown	Unknown	Unknown	Unknown
Gold	Processing Plant Nadir Metal Rafineri	Processing Plant Nadir Metal Rafineri San. Ve Tic. A.S.	FEDERATION TURKEY	CID001220	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Gold	San. Ve Tic. A.Ş. Navoi Mining and Metallurgical Combinat	Navoi Mining and Metallurgical Combinat	UZBEKISTAN	CID001236	None	Unknown	Unknown	Unknown	Unknown	Unknown
Gold Gold	Nihon Material Co. LTD Ohio Precious Metals, LLC	Nihon Material Co. LTD Ohio Precious Metals, LLC	JAPAN UNITED STATES	CID001259 CID001322	Compliant Compliant		Unknown Unknown		Unknown Unknown	Unknown Yes
Gold	Ohura Precious Metal Industry Co., Ltd	Ohura Precious Metal Industry Co., Ltd	JAPAN	CID001325	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Gold	OJSC "The Gulidov Krasnoyarsk Non- Ferrous Metals Plant" (OJSC Krastvetmet)	OJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastvetmet)	RUSSIAN FEDERATION	CID001326	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Gold	OJSC Kolyma Refinery	OJSC Kolyma Refinery	RUSSIAN FEDERATION	CID001328	None	Unknown	Unknown	Unknown	Unknown	Unknown
Gold Gold	PAMP SA Penglai Penggang Gold	PAMP SA Penglai Penggang Gold	SWITZERLAND CHINA	CID001352 CID001362	Compliant None	Complete Unknown	Unknown Unknown		Unknown Unknown	Unknown Unknown
Gold	Industry Co Ltd Prioksky Plant of Non-Ferrous Metals	Industry Co Ltd Prioksky Plant of Non-Ferrous Metals	RUSSIAN	CID001386	None	Unknown	Unknown	Unknown	Unknown	Unknown
Gold	PT Aneka Tambang (Persero) Tbk	PT Aneka Tambang (Persero) Tbk	FEDERATION INDONESIA	CID001397	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Gold Gold	PX Précinox SA Rand Refinery (Pty) Ltd	PX Précinox SA Rand Refinery (Pty) Ltd	SWITZERLAND SOUTH AFRICA	CID001498 CID001512	Compliant Compliant	Complete	Unknown Unknown	Yes	Unknown Botswana, Ethiopia, Ghana, Guinea, Mali, Mozambique, Namibia, South africa, Tanzania, Zambia, Zimbabwe, Cote de Ivory, Burkina faso, Kenya, Egypt, Peru, Asia, United Arab Emirates, Australia, Indonesia, Kenya, Malaysia, Taiwan, United States, Thailand	Unknown Unknown
Gold	Republic Metals Corporation	Republic Metals Corporation	UNITED STATES	CID002510	Compliant	-	Unknown		Unknown	Unknown
Gold Gold	Royal Canadian Mint Sabin Metal Corp.	Royal Canadian Mint Sabin Metal Corp.	CANADA UNITED STATES	CID001534 CID001546	Compliant Active	In	Unknown Unknown		Unknown Unknown	Unknown Unknown
Gold	Samduck Precious Metals	Samduck Precious Metals	KOREA, REPUBLIC OF	CID001555	None	Process Unknown	Unknown	Unknown	Unknown	Unknown
Gold	SAMWON METALS Corp.	SAMWON METALS Corp.	KOREA, REPUBLIC OF	CID001562	None	Unknown	Unknown	Unknown	Unknown	Unknown
Gold Gold	Schone Edelmetaal SEMPSA Joyería Platería SA	Schone Edelmetaal SEMPSA Joyería Platería SA	NETHERLANDS SPAIN	CID001573 CID001585	Compliant Compliant		Unknown Unknown		Unknown Unknown	Yes Yes
Gold	Shandong Zhaojin Gold & Silver Refinery Co. Ltd	Shandong Zhaojin Gold & Silver Refinery Co. Ltd	CHINA	CID001622	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Gold	Sichuan Tianze Precious Metals Co., Ltd	Sichuan Tianze Precious Metals Co., Ltd	CHINA	CID001736	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Gold Gold	So Accurate Group, Inc. SOE Shyolkovsky Factory of Secondary Precious Metals	So Accurate Group, Inc. SOE Shyolkovsky Factory of Secondary Precious Metals	UNITED STATES RUSSIAN FEDERATION	CID001754 CID001756	None Active	Unknown In Process		Unknown Unknown		Unknown Unknown
Gold	Solar Applied Materials Technology Corp.	Solar Applied Materials Technology Corp.	TAIWAN	CID001761	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Gold	Sumitomo Metal Mining Co., Ltd.	Sumitomo Metal Mining Co., Ltd.	JAPAN	CID001798	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Gold	Tanaka Kikinzoku Kogyo K.K.	K.K.	JAPAN	CID001875	Compliant	-	Unknown		Unknown	Unknown
Gold	The Great Wall Gold and Silver Refinery of China	The Great Wall Gold and Silver Refinery of China	CHINA	CID001909	None		Unknown		Unknown	Unknown
Gold	The Refinery of Shandong Gold Mining Co. Ltd	The Refinery of Shandong Gold Mining Co. Ltd	CHINA	CID001916	Compliant	•	Unknown		Unknown	Unknown
Gold Gold	Tokuriki Honten Co., Ltd Tongling nonferrous Metals Group Co., Ltd	Tokuriki Honten Co., Ltd Tongling nonferrous Metals Group Co., Ltd	JAPAN CHINA	CID001938 CID001947	Compliant None		Unknown Unknown	Yes Unknown	Unknown Unknown	Unknown Unknown
Gold	Torecom	Torecom	KOREA, REPUBLIC OF	CID001955	Active	Unknown	Unknown	Unknown	Unknown	Unknown
Gold Gold	Umicore Brasil Ltda Umicore Precious Metals	Umicore Brasil Ltda Umicore Precious Metals	BRAZIL THAILAND	CID001977 CID002314	Compliant Compliant		Unknown Unknown		Unknown Unknown	Unknown Unknown
Gold	Thailand Umicore SA Business Unit Precious Metals	Thailand Umicore SA Business Unit Precious Metals Refining	BELGIUM	CID001980	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Gold	Refining United Precious Metal	United Precious Metal	UNITED STATES	CID001993	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Gold Gold	Refining, Inc. Valcambi SA Western Australian Mint	Refining, Inc. Valcambi SA Western Australian Mint	SWITZERLAND AUSTRALIA	CID002003 CID002030	Compliant Compliant		Unknown Unknown		Unknown Unknown	Unknown Unknown
Gold	trading as The Perth Mint YAMAMOTO PRECIOUS METAL		JAPAN	CID002030	Active	In Process		Unknown		Unknown
Gold	CO., LTD. Yokohama Metal Co Ltd	Yokohama Metal Co Ltd	JAPAN	CID002129	Active	In	Unknown	Unknown	Unknown	Unknown
Gold	Yunnan Copper Industry	Yunnan Copper Industry	CHINA	CID000197	None	Process Unknown	Unknown	Unknown	Unknown	Unknown
Gold	Co Ltd Zhongyuan Gold Smelter of Zhongjin Gold	Co Ltd Zhongyuan Gold Smelter of Zhongjin Gold	CHINA	CID002224	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Gold	Corporation Zijin Mining Group Co.	Corporation Zijin Mining Group Co.	CHINA	CID002243	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Tantalum	Ltd Changsha South Tantalum Niobium Co.,	Ltd Changsha South Tantalum Niobium Co., Ltd.	CHINA	CID000211	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Tantalum	Ltd. Conghua Tantalum and Niobium Smeltry	Conghua Tantalum and Niobium Smeltry	CHINA	CID000291	Compliant	Complete	Yes	Yes	DRC	Unknown

Tantalum Tantalum Tantalum	Duoluoshan Exotech Inc. F&X Electro-Materials Ltd.	Duoluoshan Exotech Inc. F&X Electro-Materials Ltd.	CHINA UNITED STATES CHINA	CID000410 CID000456 CID000460	Compliant Compliant Compliant	Complete Complete Complete	Unknown	Yes Yes Yes	DRC, Rwanda Unknown DRC	Unknown Yes Unknown
Tantalum	Global Advanced Metals Boyertown	Global Advanced Metals Bovertown	UNITED STATES	CID002557	Compliant	Complete	Yes	Yes	DRC, Australia	Unknown
Tantalum	Guangdong Zhiyuan New Material Co., Ltd.	Guangdong Zhiyuan New Material Co., Ltd.	CHINA	CID000616	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Tantalum	Guizhou Zhenhua Xinyun Technology Ltd., Kaili branch	Guizhou Zhenhua Xinyun Technology Ltd., Kaili branch	CHINA	CID002501	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Tantalum Tantalum	H.C. Starck Co., Ltd. H.C. Starck GmbH Goslar	H.C. Starck Co., Ltd. H.C. Starck GmbH Goslar	THAILAND GERMANY	CID002544 CID002545	Compliant Compliant	Complete Complete	Yes Yes	Yes Yes	DRC DRC	Yes Yes
Tantalum	H.C. Starck GmbH Laufenburg	H.C. Starck GmbH Laufenburg	GERMANY	CID002546	Compliant	Complete	Yes	Yes	DRC	Yes
Tantalum	H.C. Starck Hermsdorf GmbH	H.C. Starck Hermsdorf GmbH	GERMANY	CID002547	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Tantalum Tantalum Tantalum	H.C. Starck Inc. H.C. Starck Ltd. H.C. Starck Smelting GmbH & Co. KG	H.C. Starck Inc. H.C. Starck Ltd. H.C. Starck Smelting GmbH & Co. KG	UNITED STATES JAPAN GERMANY	CID002548 CID002549 CID002550	Compliant Compliant Compliant	Complete Complete Complete	Unknown Unknown Yes	Yes Yes Yes	Unknown Unknown DRC	Unknown Unknown Yes
Tantalum	Hengyang King Xing Lifeng New Materials Co., Ltd.	Hengyang King Xing Lifeng New Materials Co., Ltd.	CHINA	CID002492	Compliant	Complete	Unknown	Yes	Unknown	Unknown

Tantalum Tantalum	Jiangxi Dinghai Tantalum	Hi-Temp Jiangxi Dinghai Tantalum	UNITED STATES CHINA	CID000731 CID002512	Compliant Compliant		Unknown Unknown		Unknown Unknown	Yes Unknown
Tantalum	& Niobium Co., LTD JiuJiang JinXin Nonferrous	& Niobium Co., LTD JiuJiang JinXin Nonferrous	CHINA	CID000914	Compliant	Complete	Yes	Yes	DRC	Unknown
Tantalum Tantalum	Metals Co., Ltd. Jiujiang Tanbre Co., Ltd. Jiujiang Zhongao Tantalum	Metals Co., Ltd. Jiujiang Tanbre Co., Ltd. Jiujiang Zhongao Tantalum	CHINA CHINA	CID000917 CID002506	Compliant Compliant	Complete Complete	Yes Unknown	Yes Yes	DRC Unknown	Yes Unknown
Tantalum Tantalum	& Niobium Co, Ltd KEMET Blue Metals King-Tan Tantalum	& Niobium Co, Ltd KEMET Blue Metals King-Tan Tantalum	MEXICO CHINA	CID002539 CID000973	Compliant Compliant	Complete Complete	Yes Unknown	Yes Yes	DRC Unknown	Unknown Yes
Tantalum Tantalum	Industry Ltd LSM Brasil S.A. Metallurgical Products	Industry Ltd LSM Brasil S.A. Metallurgical Products	BRAZIL INDIA	CID001076 CID001163	Compliant Compliant	Complete	Unknown Unknown	Yes	Unknown Unknown	Unknown Unknown
Tantalum	India (Pvt.) Ltd. Mineração Taboca S.A.	India (Pvt.) Ltd. Mineração Taboca S.A.	BRAZIL	CID001175	Compliant	•	Unknown		Brazil	Unknown
Tantalum Tantalum Tantalum	Mitsui Mining & Smelting Molycorp Silmet A.S. Ningxia Orient Tantalum Industry Co., Ltd.	Mitsui Mining & Smelting Molycorp Silmet A.S. Ningxia Orient Tantalum Industry Co., Ltd.	JAPAN ESTONIA CHINA	CID001192 CID001200 CID001277	Compliant Compliant Compliant	Complete Complete Complete	Unknown Unknown	Yes	Unknown Unknown DRC	Unknown Unknown Unknown
Tantalum Tantalum	Plansee SE Liezen Plansee SE Reutte	Plansee SE Liezen Plansee SE Reutte	AUSTRIA AUSTRIA	CID002540 CID002556	Compliant Compliant	Complete Complete	Unknown	Yes	Unknown Unknown	Yes Yes
Tantalum Tantalum	QuantumClean RFH Tantalum Smeltry	QuantumClean RFH Tantalum Smeltry	UNITED STATES CHINA	CID001508 CID001522	Compliant Compliant	Complete Complete	Unknown Unknown		Unknown Unknown	Unknown Yes
Tantalum	Co., Ltd Shanghai Jiangxi Metals Co. Ltd	Co., Ltd Shanghai Jiangxi Metals Co. Ltd	CHINA	CID001634	None	Unknown	Unknown	Unknown	Unknown	Unknown
Tantalum	Solikamsk Magnesium Works OAO	Solikamsk Magnesium Works OAO	RUSSIAN FEDERATION	CID001769	Compliant	Complete	Unknown	Yes	Russian Federation	Yes
Tantalum Tantalum	Taki Chemicals Telex	Taki Chemicals Telex	JAPAN UNITED STATES	CID001869 CID001891	Compliant Compliant	Complete Complete	Unknown Unknown		Unknown Unknown	Unknown Yes
Tantalum Tantalum	Ulba Yichun Jin Yang Rare	Ulba Yichun Jin Yang Rare	KAZAKHSTAN CHINA	CID001969 CID002307	Compliant Compliant	Complete	Yes Unknown	Yes	DRC Unknown	Yes Unknown
Tantalum	Metal Co., Ltd Zhuzhou Cement Carbide	Metal Co., Ltd Zhuzhou Cement Carbide	CHINA	CID002232	Compliant	Complete		Yes	DRC	Unknown
Tin Tin	Alpha China Rare Metal Materials Company		UNITED STATES CHINA	CID000292 CID000244	Compliant Compliant	Complete Complete	Unknown Unknown		Unknown Unknown	Unknown Unknown
Tin	China Tin Group Co., Ltd.	Materials Company China Tin Group Co., Ltd.	CHINA	CID001070	Active	In Process	Unknown	Unknown	Unknown	Unknown
Tin	CNMC (Guangxi) PGMA Co. Ltd.	CNMC (Guangxi) PGMA Co. Ltd.	CHINA	CID000278	None		Unknown	Unknown	Unknown	Unknown
Tin	Cooper Santa	Cooper Santa	BRAZIL	CID000295	Active	In Process	Unknown	Unknown	Unknown	Unknown
Tin	CV Gita Pesona	CV Gita Pesona	INDONESIA	CID000306	Active	In Process	Unknown	Unknown	Indonesia	Unknown
Tin	CV JusTindo	CV JusTindo	INDONESIA	CID000307	Active	In Process		Unknown		Unknown
Tin Tin	CV Makmur Jaya CV Nurjanah	CV Makmur Jaya CV Nurjanah	INDONESIA INDONESIA	CID000308 CID000309	None Active	Unknown In Process		Unknown Unknown		Unknown Unknown
Tin Tin	CV Serumpun Sebalai CV United Smelting	CV Serumpun Sebalai CV United Smelting	INDONESIA INDONESIA	CID000313 CID000315	None Compliant		Unknown Unknown		Indonesia Indonesia	Unknown Unknown
Tin Tin	Dowa EM Vinto	Dowa EM Vinto	JAPAN BOLIVIA	CID000402 CID000438	Compliant Compliant	Complete Complete	Unknown Unknown		Unknown Unknown	Yes Unknown
Tin Tin	Estanho de Rondônia S.A. Fenix Metals	Estanho de Rondônia S.A. Fenix Metals	BRAZIL POLAND	CID000448 CID000468	None Active	Unknown In	Unknown	Unknown Unknown	Unknown	Unknown Unknown
Tin	Gejiu Kai Meng Industry	Gejiu Kai Meng Industry	CHINA	CID000942	None	Process Unknown	Unknown	Unknown	Unknown	Unknown
Tin	and Trade LLC Gejiu Non-Ferrous Metal	and Trade LLC Gejiu Non-Ferrous Metal	CHINA	CID000538	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Tin Tin	Processing Co. Ltd. Gejiu Zi-Li Huichang Jinshunda Tin	Processing Co. Ltd. Gejiu Zi-Li Huichang Jinshunda Tin	CHINA CHINA	CID000555 CID000760	None None	Unknown Unknown	Unknown Unknown	Unknown Unknown		Unknown Unknown
Tin	Co. Ltd Jiangxi Nanshan	Co. Ltd Jiangxi Nanshan	CHINA	CID000864	None		Unknown			Unknown Unknown
Tin Tin	Linwu Xianggui Smelter Co Magnu's Minerais Metais e	Linwu Xianggui Smelter Co Magnu's Minerais Metais e	CHINA	CID001063 CID002468	None Compliant		Unknown Unknown		Unknown Finland, Chile	Unknown
Tin	Ligas LTDA Malaysia Smelting	Ligas LTDA Malaysia Smelting	MALAYSIA	CID001105	Compliant	Complete		Yes	DRC, Central Africa	Unknown
Tin	Corporation (MSC) Melt Metais e Ligas S/A	Corporation (MSC) Melt Metais e Ligas S/A	BRAZIL	CID002500	Compliant	•	Unknown		Unknown	Unknown
Tin Tin	Metallo Chimique Mineração Taboca S.A.	Metallo Chimique Mineração Taboca S.A.	BELGIUM BRAZIL	CID001143 CID001173	Compliant Compliant	Complete Complete	Unknown Unknown		Unknown Brazil	Unknown Unknown
Tin Tin	Minsur Mitsubishi Materials	Minsur Mitsubishi Materials	PERU JAPAN	CID001182 CID001191	Compliant Compliant	Complete Complete	Unknown Unknown	Yes	Brazil Unknown	Unknown Unknown
Tin	Corporation Novosibirsk Integrated Tin	Corporation Novosibirsk Integrated Tin	RUSSIAN	CID001131	None	•	Unknown			Unknown
Tin	Works O.M. Manufacturing	Works O.M. Manufacturing	FEDERATION THAILAND	CID001314	Active	In		Unknown		Unknown
Tin	(Thailand) Co., Ltd. O.M. Manufacturing	(Thailand) Co., Ltd. O.M. Manufacturing	PHILIPPINES	CID002517	Active	Process In		Unknown		Unknown
Tin	Philippines, Inc. OMSA	Philippines, Inc. OMSA	BOLIVIA	CID001337	Compliant	Process Complete	Unknown		Bolivia	Unknown
Tin Tin	PT Alam Lestari Kencana PT Artha Cipta Langgeng	PT Alam Lestari Kencana PT Artha Cipta Langgeng	INDONESIA INDONESIA	CID001393 CID001399	None Active	Unknown In Process		Unknown Unknown		Unknown Unknown
Tin	PT ATD Makmur Mandiri Jaya	PT ATD Makmur Mandiri Jaya	INDONESIA	CID002503	Compliant		Unknown	Yes	Unknown	Unknown
Tin Tin	PT Babel Inti Perkasa PT Babel Surya Alam Lestari	PT Babel Inti Perkasa PT Babel Surya Alam Lestari	INDONESIA INDONESIA	CID001402 CID001406	Compliant None		Unknown Unknown		Indonesia Indonesia	Unknown Unknown
Tin Tin	PT Bangka Kudai Tin PT Bangka Putra Karya	PT Bangka Kudai Tin PT Bangka Putra Karya	INDONESIA INDONESIA	CID001409 CID001412	None Compliant	Unknown Complete	Unknown Unknown		Indonesia Indonesia	Unknown Unknown
Tin	PT Bangka Timah Utama Sejahtera	PT Bangka Timah Utama Sejahtera	INDONESIA	CID001416	None		Unknown			Unknown
Tin	PT Bangka Tin Industry	PT Bangka Tin Industry	INDONESIA	CID001419	Compliant	Complete	Unknown	Yes	Indonesia	Unknown

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Tin Tin	PT Belitung Industri Sejahtera PT BilliTin Makmur	PT Belitung Industri Sejahtera PT BilliTin Makmur Lestari	INDONESIA INDONESIA	CID001421 CID001424	Compliant Active	Complete	Unknown	Yes Unknown	Indonesia Indonesia	Unknown Unknown
Tin	Lestari PT Bukit Timah	PT Bukit Timah	INDONESIA	CID001424 CID001428	Compliant	Process Complete	Unknown		Indonesia	Unknown
Tin Tin	PT Donna Kembara Jaya PT DS Jaya Abadi	PT Donna Kembara Jaya PT DS Jaya Abadi	INDONESIA INDONESIA	CID002473 CID001434	None Compliant	Unknown Complete		Unknown		Unknown Unknown
Tin Tin	PT Eunindo Usaha Mandiri PT Fang Di MulTindo	PT Eunindo Usaha Mandiri PT Fang Di MulTindo	INDONESIA INDONESIA	CID001438 CID001442	Compliant None	Complete Unknown		Unknown		Unknown Unknown
Tin	PT HANJAYA PERKASA METALS	PT HANJAYA PERKASA METALS	INDONESIA	CID002287	None		Unknown			Unknown
Tin Tin	PT HP Metals Indonesia PT Inti Stania Prima	PT HP Metals Indonesia PT Inti Stania Prima	INDONESIA INDONESIA	CID001445 CID002530	None Active	Unknown In		Unknown Unknown		Unknown Unknown
Tin	PT Karimun Mining	PT Karimun Mining	INDONESIA	CID001448	Active	Process In Process	Unknown	Unknown	Indonesia	Unknown
Tin Tin	PT Koba Tin PT Mitra Stania Prima	PT Koba Tin PT Mitra Stania Prima	INDONESIA INDONESIA	CID001449 CID001453	None Compliant	Unknown Complete	Unknown Unknown		Indonesia Indonesia	Unknown Unknown
Tin Tin	PT Panca Mega Persada PT Pelat Timah Nusantara	PT Panca Mega Persada PT Pelat Timah Nusantara	INDONESIA INDONESIA	CID001457 CID001486	Compliant None	Complete Unknown	Unknown Unknown	Yes	Indonesia Indonesia	Unknown Unknown
Tin	Tbk PT Prima Timah Utama	Tbk PT Prima Timah Utama	INDONESIA	CID001458	Compliant		Unknown		Indonesia	Unknown
Tin Tin	PT REFINED BANGKA TIN PT Sariwiguna Binasentosa	PT REFINED BANGKA TIN PT Sariwiguna Binasentosa	INDONESIA INDONESIA	CID001460 CID001463	Compliant Compliant	•	Unknown Unknown		Indonesia Indonesia	Unknown Unknown
Tin Tin	PT Seirama Tin investment PT Singkep Times Utama	PT Seirama Tin investment PT Singkep Times Utama	INDONESIA INDONESIA	CID001466 CID002476	None None	Unknown	Unknown Unknown	Unknown	Indonesia Inknown	Unknown Unknown
Tin Tin	PT Stanindo Inti Perkasa PT Sumber Jaya Indah	PT Stanindo Inti Perkasa PT Sumber Jaya Indah	INDONESIA INDONESIA	CID001468 CID001471	Compliant Active	Complete In	Unknown		Indonesia Indonesia	Unknown Unknown
Tin	PT Supra Sukses Trinusa	PT Supra Sukses Trinusa	INDONESIA	CID001476	None	Process Unknown	Unknown	Unknown	Indonesia	Unknown
Tin Tin	PT Tambang Timah PT Timah (Persero), Tbk	PT Tambang Timah PT Timah (Persero), Tbk	INDONESIA INDONESIA	CID001477 CID001482	Compliant Compliant	Complete Complete	Unknown Unknown	Yes	Indonesia Indonesia	Unknown Unknown
Tin Tin Tin	PT Tinindo Inter Nusa PT Tommy Utama	PT Tinindo Inter Nusa PT Tommy Utama PT WAHANA PERKIT	INDONESIA INDONESIA INDONESIA	CID001490 CID001493 CID002479	Compliant None	Complete Unknown Complete	Unknown Unknown Unknown	Unknown	Indonesia Indonesia Unknown	Unknown Unknown Unknown
Tin	PT WAHANA PERKIT JAYA PT Yinchendo Mining	JAYA PT Yinchendo Mining	INDONESIA	CID002479 CID001494	Compliant None	•	Unknown			Unknown
Tin	Industry Rui Da Hung	Industry Rui Da Hung	TAIWAN	CID001539	Active	In		Unknown		Unknown
Tin	Soft Metais, Ltda.	Soft Metais, Ltda.	BRAZIL	CID001758	Active	Process In	Unknown	Unknown	Unknown	Unknown
Tin	Thaisarco	Thaisarco	THAILAND	CID001898	Compliant		Yes	Yes	DRC	Unknown
Tin Tin	White Solder Metalurgia e Mineração Ltda. Yunnan Chengfeng Non-	White Solder Metalurgia e Mineração Ltda. Yunnan Chengfeng Non-	BRAZIL CHINA	CID002036 CID002158	Compliant Active	Complete In	Unknown	Yes Unknown	Brazil Unknown	Unknown Unknown
Tin	ferrous Metals Co.,Ltd. Yunnan Tin Company, Ltd.	ferrous Metals Co.,Ltd. Yunnan Tin Company, Ltd.	CHINA	CID002180	Compliant	Process Complete	Unknown		China, Brazil, Australia,	Unknown
Tungsten	A.L.M.T. Corp.	A.L.M.T. Corp.	JAPAN	CID000004	Active	In		Unknown	Bolivia Unknown	Unknown
Tungsten	Chenzhou Diamond Tungsten Products Co.,	Chenzhou Diamond Tungsten Products Co., Ltd.	China	CID002513	Active	Process In Process	Unknown	Unknown	Unknown	Unknown
Tungsten	Ltd. Chongyi Zhangyuan	Chongyi Zhangyuan	CHINA	CID000258	Active	In	Unknown	Unknown	Unknown	Unknown
Tungsten	Tungsten Co., Ltd. Dayu Jincheng Tungsten	Tungsten Co., Ltd. Dayu Jincheng Tungsten	CHINA	CID002518	Active	Process In	Unknown	Unknown	Unknown	Unknown
Tungsten	Industry Co., Ltd. Dayu Weiliang Tungsten Co., Ltd.	Industry Co., Ltd. Dayu Weiliang Tungsten Co., Ltd.	CHINA	CID000345	Active	Process In Process	Unknown	Unknown	Unknown	Unknown
Tungsten	Fujian Jinxin Tungsten Co., Ltd.	Fujian Jinxin Tungsten Co., Ltd.	CHINA	CID000499	Active	In Process	Unknown	Unknown	Unknown	Unknown
Tungsten	Ganxian Shirui New Material Co., Ltd.	Ganxian Shirui New Material Co., Ltd.		CID002531	None	Unknown	Unknown	Unknown	Unknown	Unknown
Tungsten	Ganzhou Huaxing Tungsten Products Co.,	Ganzhou Huaxing Tungsten Products Co., Ltd.	CHINA	CID000875	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Tungsten	Ltd. Ganzhou Jiangwu Ferrotungsten Co., Ltd.	Ganzhou Jiangwu Ferrotungsten Co., Ltd.	CHINA	CID002315	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Tungsten	Ganzhou Non-ferrous Metals Smelting Co., Ltd.	Ganzhou Non-ferrous Metals Smelting Co., Ltd.	CHINA	CID000868	Active	In Process	Unknown	Unknown	Unknown	Unknown
Tungsten	Ganzhou Seadragon W & Mo Co., Ltd.	Ganzhou Seadragon W & Mo Co., Ltd.	CHINA	CID002494	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Tungsten	Global Tungsten & Powders Corp.	Global Tungsten & Powders Corp.	UNITED STATES	CID000568	Compliant	Complete	Unknown	Yes	Europe, Asia, Americas	Yes
Tungsten	Guangdong Xianglu Tungsten Co., Ltd.	Guangdong Xianglu Tungsten Co., Ltd.	CHINA	CID000218	Active	In Process		Unknown		Unknown
Tungsten	H.C. Starck GmbH	H.C. Starck GmbH	GERMANY	CID002541	Active	In Process		Unknown		Unknown
Tungsten Tungsten	H.C. Starck Smelting GmbH & Co.KG Hunan Chenzhou Mining	H.C. Starck Smelting GmbH & Co.KG Hunan Chenzhou Mining	GERMANY CHINA	CID002542 CID000766	Active Active	In Process In		Unknown Unknown	Unknown	Unknown Unknown
Tungsten	Group Co., Ltd. Hunan Chunchang Nonferrous Metals Co.,	Group Co., Ltd. Hunan Chunchang Nonferrous Metals Co., Ltd.	CHINA	CID000769	Compliant	Process	Unknown		Unknown	Unknown
Tungsten	Ltd. Japan New Metals Co.,	Japan New Metals Co., Ltd.	JAPAN	CID000825	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Tungsten	Ltd. Jiangwu H.C. Starck	Jiangwu H.C. Starck	CHINA	CID002551	Active	In	Unknown	Unknown	Unknown	Unknown
Tungsten	Tungsten Products Co., Ltd. Jiangxi Gan Bei Tungsten	Tungsten Products Co., Ltd. Jiangxi Gan Bei Tungsten	CHINA	CID002321	Compliant	Process Complete	Unknown	Voc	Unknown	Unknown
Tungsten	Co., Ltd. Jiangxi Minmetals Gao'an	Co., Ltd. Jiangxi Minmetals Gao'an	CHINA	CID002321 CID002313	None	•	Unknown			Unknown
03.6.17	Non-ferrous Metals Co., Ltd.	Non-ferrous Metals Co., Ltd.								
Tungsten	Jiangxi Richsea New Materials Co., Ltd.	Jiangxi Richsea New Materials Co., Ltd.	CHINA	CID002493	None		Unknown			Unknown
Tungsten	Jiangxi Tonggu Non- ferrous Metallurgical &	Jiangxi Tonggu Non-ferrous Metallurgical & Chemical	CHINA	CID002318	Active	In Process	Unknown	Unknown	Unknown	Unknown
Tungsten	Chemical Co., Ltd. Jiangxi Xinsheng Tungsten Industry Co., Ltd.	Co., Ltd. Jiangxi Xinsheng Tungsten Industry Co., Ltd.	CHINA	CID002317	Active	In Process	Unknown	Unknown	Unknown	Unknown
Tungsten	Jiangxi Yaosheng Tungsten Co., Ltd.	Jiangxi Yaosheng Tungsten Co., Ltd.	CHINA	CID002316	Active	In Process	Unknown	Unknown	Unknown	Unknown
Tungsten	Kennametal Fallon	Kennametal Fallon	UNITED STATES	CID000966	Active	In Process		Unknown		Unknown
Tungsten	Kennametal Huntsville	Kennametal Huntsville	UNITED STATES	CID000105	Active	In Process	Unknown	Unknown	Unknown	Unknown

Tungsten	Malipo Haiyu Tungsten	Malipo Haiyu Tungsten Co.,	CHINA	CID002319	Compliant	Complete	Unknown	Yes	Unknown	Unknown
Tungsten	Co., Ltd. Nui Phao H.C. Starck Tungsten Chemicals	Ltd. Nui Phao H.C. Starck Tungsten Chemicals	VIET NAM	CID002543	Active	In Process	Unknown	Unknown	Unknown	Unknown
Tungsten	Manufacturing LLC Tejing (Vietnam) Tungsten Co., Ltd.	Manufacturing LLC Tejing (Vietnam) Tungsten Co., Ltd.	VIET NAM	CID001889	Active	In Process	Unknown	Unknown	Unknown	Unknown

Tungsten	Vietnam Youngsun Tungsten Industry Co., Ltd	Vietnam Youngsun Tungsten Industry Co., Ltd	VIET NAM	CID002011	Compliant	Complete	Yes	Yes	DRC, Vietnam	Unknown
Tungsten	Wolfram Bergbau und Hütten AG		AUSTRIA	CID002044	Compliant	Complete	Unknown	Yes	Austria	Yes
Tungsten	Wolfram Company CJSC	Wolfram Company CJSC	RUSSIAN FEDERATION	CID002047	Active	In	Unknown	Unknown	Unknown	Unknown
Tungsten	Xiamen Tungsten (H.C.) Co., Ltd.	Xiamen Tungsten (H.C.) Co., Ltd.	CHINA	CID002320	Compliant	Process Complete	Yes	Yes	DRC	Unknown
Tungsten Tungsten	Xiamen Tungsten Co., Ltd. Xinhai Rendan Shaoguan	Xiamen Tungsten Co., Ltd. Xinhai Rendan Shaoguan	CHINA CHINA	CID002082 CID002095	Compliant Active	Complete In	Unknown Unknown	Yes Unknown	Unknown Unknown	Unknown Unknown
Gold	Tungsten Co., Ltd. U.S. Department of Commerce	Tungsten Co., Ltd. House of Currency of Brazil (Casa da Moeda do	Brazil		None	Process Unknown	Unknown	Unknown	Unknown	Unknown
Tantalum	U.S. Department of Commerce	Brazil) CBMM	Brazil		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tantalum	U.S. Department of Commerce	Nippon Mining & Metals Co. Ltd.	Japan		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tin	U.S. Department of Commerce	Amalgamet Inc.	Peru		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tin	U.S. Department of Commerce	CSC Pure Technologies	Russia		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tin	U.S. Department of Commerce	CV Duta Putra Bangka	Indonesia		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tin	U.S. Department of Commerce	Dongguan Qiandao Tin Co., Ltd	China		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tin	U.S. Department of Commerce	Electroloy Metal Pte	Singapore		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tin	U.S. Department of Commerce	Hyundai-Steel	Korea, Republic of		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tin	U.S. Department of Commerce	Jean Goldschmidt International SA	Belgium		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tin	U.S. Department of Commerce	Koki Products Co. Ltd.	Thailand		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tin	U.S. Department of Commerce	KOVOHUTE PRIBRAM NASTUPNICKA, A.S.	Czech Republic		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tin	U.S. Department of Commerce	Laibin Smelter [Guangxi China Tin Group Co. Ltd.]	China		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tin	U.S. Department of Commerce	Poongsan Corporation	Korea, Republic		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tin	U.S. Department of	POSCO	of Korea, Republic of		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tin	Commerce U.S. Department of	Pure Technology	Russia		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tin	Commerce U.S. Department of	Rahman Hydraulic Tin Sdn Bhd	Malaysia		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tin	Commerce U.S. Department of	Senju Metal Industry Co.,	Japan		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tin	Commerce U.S. Department of	Ltd SGS	Bolivia		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tin	Commerce U.S. Department of	TaeguTec Ltd.	Korea, Republic		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tin	Commerce U.S. Department of	Technic Inc.	of United States		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tungsten	Commerce U.S. Department of	Air Products	United States		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tungsten	Commerce U.S. Department of	Izawa Metal Co., Ltd	Japan		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tungsten	Commerce U.S. Department of	Luoyang Mudu Tungsten	China		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tungsten	Commerce U.S. Department of Commerce	& Molybdenum Technology Co., Ltd. Nanchang Cemented Carbide Limited Liability	China		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tungsten	U.S. Department of	Company North American Tungsten	Canada		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tungsten	Commerce U.S. Department of Commerce	Nui Phao Mining Company Ltd. And H.C.	Vietnam		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tungsten	U.S. Department of	Starck GmbH Saganoseki Smelter &	Japan		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tungsten	Commerce U.S. Department of	Refinery TaeguTec Ltd.	Korea, Republic		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tungsten	Commerce U.S. Department of	Tamano Smelter, Hibi	of Japan		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tungsten	Commerce U.S. Department of	Kyodo Smelting Co., Ltd Voss Metals Company, Inc	United States		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tungsten	Commerce U.S. Department of Commerce	Xiamen Honglu Tungsten Molybdenum Industry Co.	China		None	Unknown	Unknown	Unknown	Unknown	Unknown
Tungsten	U.S. Department of	Ltd Zhangyuan Tungsten Co.,	China		None	Unknown	Unknown	Unknown	Unknown	Unknown
	Commerce	Ltd								